

FORM NO. AOC-4

[Pursuant to section 137 of the Companies Act, 2013 and sub-rule (1) of Rule 12 of Companies (Accounts) Rules, 2014]



Form for filing financial statement and other documents with the Registrar

Form Language

☒ English

☐ Hindi

Refer the instruction kit for filing the form.

Note-

-All fields marked in * are to be mandatorily filled.

-Figures appearing in the e-Form should be entered in Absolute Rupees only. Figures should not be rounded off in any other unit like hundreds, thousands, lakhs, millions or crores.

Authorized capital of the company as on the date of filing

500,000

Number of members of the company as on the date of filing

SEGMENT- I: INFORMATION AND PARTICULARS IN RESPECT OF BALANCE SHEET

Part A

I. General information of the company

1. (a) *Corporate identity number (CIN) of company

U74999MH2017PTC303049

Pre-Fill

(b) Global location number (GLN) of company

2. (a) Name of the company

EKAM WEALTH PRIVATE LIMITED

(b) Address of the registered office of the company

14, Floor-1, Prabha Mandir, P Balu Marg,
Tata Press, Prabhadevi,
Mumbai
Mumbai City
Maharashtra

(c) *e-mail ID of the company

gopinath@atreji.in

3. * Financial year to which financial statements relates

From

01/04/2020

(DD/MM/YYYY)

To

31/03/2021

(DD/MM/YYYY)

4. (a) * Date of Board of directors' meeting in which financial statements are approved

29/11/2021

(DD/MM/YYYY)

(b) (i) *Nature of financial statements

Adopted Financial statements

(iii) Whether provisional financial statements filed earlier ☐ Yes ☒ No ☐ Not applicable

(iv) Whether adopted in adjourned AGM ☐ Yes ☒ No ☐ Not applicable

(c) Details of director(s), manager, secretary, CEO, CFO of the company who have signed the financial statements

Provide Director Identification number (DIN) in case of director, Managing Director and Income -tax permanent account number (Income-tax PAN) in case of manager, secretary, CEO, CFO.

Pre-fill All

DIN or Income-tax PAN	Name	Designation	Date of signing of financial statements
05344012	GOPINATH	Director	29/11/2021
07951762	AARTHI GOPINATH	Director	29/11/2021

5. (a) *Date of Board of directors' meeting in which boards' report referred under section 134 was approved (DD/MM/YYYY)

(b) Details of director(s) who have signed the Boards' report

DIN	Name	Designation	Date of signing of Boards' report
05344012	GOPINATH	Director	29/11/2021
07951762	AARTHI GOPINATH	Director	29/11/2021

6. * Date of signing of reports on the financial statements by the auditors (DD/MM/YYYY)

7. (a) * Whether annual general meeting (AGM) held ☒ Yes ☐ No ☐ Not applicable
- (b) If yes, date of AGM (DD/MM/YYYY)
- (c) *Due date of AGM (DD/MM/YYYY)
- (d) * Whether any extension for financial year or AGM granted ☐ Yes ☒ No

8. (a) * Whether the company is a subsidiary company as defined under clause (87) of section 2 ☐ Yes ☒ No

(b) CIN of the holding company, if applicable

(c) Name of the holding company

(d) Provision pursuant to which the company has become a subsidiary

9. (a) * Whether the company has a subsidiary company as defined under clause (87) of section 2 ☐ Yes ☒ No

(b) If yes, then indicate number of subsidiary company(ies)

	CIN of subsidiary company	
	Name of the subsidiary company	
	Provisions pursuant to which the company has become a subsidiary	

10. *Number of Auditors

I.	(a)	Income-tax PAN of auditor or auditor's firm	AAQCA3432M
	(b)	Category of auditor	☐ Individual ☒ Auditor's firm
	(c)	Membership number of auditor or auditor's firm's registration number	029366
	(d)	SRN of Form ADT-1	H66276585 Pre-Fill
	(e)	Name of the auditor or auditor's firm	Ramesh and Ramachandran
	(f)	Address of the auditor or auditor's firm Line I	(0)29/3,(N),Viswanathapuram main Road,K
		Line II	
		*City	CHENNAI
		*State	Tamil Nadu-TN
		Country	INDIA
		*Pin code	600024
	(g)	Details of the member signing for the above firm	
		Name of the member	G SURESH
		Membership number	029366

11. (a) *Whether Schedule III of the Companies Act, 2013 is applicable ☒ Yes ☐ No

(b) *Type of Industry

Commercial & Industrial

Note: In case the type of industry is other than Banking or Power or Insurance or NBFC, then select Commercial and Industrial (C&I).

12. *Whether consolidated financial statements required or not ☐ Yes ☒ No

13. (a) *Whether company is maintaining books of account and other relevant books and papers in electronic form ☐ Yes ☒ No

I. Balance Sheet

Part-B

	Particulars	Figures as at the end of (Current reporting period) (in Rs.)	Figures as at the end of (Previous reporting period) (inRs.)
		31/03/2021 (DD/MM/YYYY)	31/03/2020 (DD/MM/YYYY)
I.	EQUITY AND LIABILITIES		
(1)	Shareholder's Fund		
	(a) Share capital	100,000.00	100,000.00
	(b) Reserves and surplus	1,516,866.00	295,309.00
	(c) Money received against share warrants	0.00	0.00
(2)	Share application money pending allotment	0.00	0.00
(3)	Non - current liabilities		
	(a) Long term borrowings	3,894,083.00	4,320,633.00
	(b) Deferred tax liabilities (net)	0.00	7,697.00
	(c) Other long term liabilities	0.00	0.00
	(d) Long term provisions	0.00	0.00
(4)	Current liabilities		
	(a) Short term borrowings	0.00	0.00
	(b) Trade payables	0.00	0.00
	(c) Other current liabilities	1,217,658.00	833,686.00
	(d) Short term provisions	0.00	0.00
	Total	6,728,607.00	5,557,325.00
II.	ASSETS		
(1)	Non-current assets		
	(a) Fixed assets		
	(i) Tangible assets	121,418.00	156,543.00
	(ii) Intangible assets	0.00	0.00
	(iii) Capital work-in-progress	0.00	0.00
	(iv) Intangible assets under development	0.00	0.00
	(b) Non-current Investments	0.00	0.00
	(c) Deferred tax assets (net)	46,859.00	0.00
	(d) Long term loans and advances	510,000.00	510,000.00
	(e) Other non-current assets	0.00	251,346.00
(2)	Current assets		
	(a) Current Investment	1,037,961.00	1,037,961.00
	(b) Inventories	0.00	0.00
	(c) Trade receivables	2,341,370.00	1,748,811.00
	(d) Cash and cash equivalents	2,586,165.00	1,189,323.00
	(e) Short term loans and advances	0.00	0.00
	(f) Other current assets	84,834.00	663,341.00
	Total	6,728,607.00	5,557,325.00

II. Detailed Balance sheet items (Amount in Rupees)

A. Details of long term borrowings (unsecured)

Particulars	Current reporting period	Previous reporting period
Bonds/ debentures	0.00	0.00
Term Loans		
-From banks	0.00	0.00
-From other parties	0.00	0.00
Deferred payment liabilities	0.00	0.00
Deposits	0.00	0.00
Loans and advances from related parties	3,894,083.00	4,320,633.00
Long term maturities of financial lease Obligations	0.00	0.00
Other loans & advances	0.00	0.00
Total long term borrowings (unsecured)	3,894,083.00	4,320,633.00
Out of above total, aggregate amount guaranteed by directors	0.00	0.00

B. Details of short term borrowings (unsecured)

Particulars	Current reporting period	Previous reporting period
Loans repayable on demand		
-From banks	0.00	0.00
-From other parties	0.00	0.00
Loans and advances from related parties	0.00	0.00
Deposits	0.00	0.00
Other loans and advances	0.00	0.00
Total short term borrowings (unsecured)	0.00	0.00
Out of above total, aggregate amount guaranteed by directors		

C. Details of long term loans and advances (unsecured, considered good)

Particulars	Currents reporting period	Previous reporting period
Capital advances	0.00	0.00
Security deposits	510,000.00	510,000.00
Loans and advances to other related parties	0.00	0.00
Other loans and advances	0.00	0.00
Total long term loan and advances	510,000.00	510,000.00
Less: Provision/ allowance for bad and doubtful loans and advances		
-From related parties	0.00	0.00
-From others	0.00	0.00
Net long term loan and advances (unsecured, considered good)	510,000.00	510,000.00
Loans and advances due by directors/ other officers of the company	0.00	0.00

D. Details of long term loans and advances (doubtful)

Particulars	Currents reporting period	Previous reporting period
Capital advances	0.00	0.00
Security deposits	0.00	0.00
Loans and advances to other related parties	0.00	0.00
Other loans and advances	0.00	0.00
Total long term loan and advances	0.00	0.00
Less: Provision/ allowance for bad and doubtful loans and advances		
-From related parties	0.00	0.00
-From others	0.00	0.00
Net long term loan and advances (doubtful)	0.00	0.00
Loans and advances due by directors/ other officers of the company		

E. Details of trade receivables

Particulars	Current reporting period		Previous reporting period	
	Exceeding six months	Within six months	Exceeding six months	Within six months
Secured, considered good	0.00	2,341,370.00	0.00	1,748,811.00
Unsecured, considered good	0.00	0.00	0.00	0.00
Doubtful	0.00	0.00	0.00	0.00
Total trade receivables	0.00	2,341,370.00	0.00	1,748,811.00
Less: Provision/ allowance for bad and doubtful debts	0.00	0.00	0.00	0.00
Net trade receivables	0.00	2,341,370.00	0.00	1,748,811.00
Debt due by directors/ others officers of the company		0.00		0.00

III. *Financial parameters - Balance sheet items (Amount in Rupees) as on financial year end date

1	Amount of issue allotted for contracts without payment received in cash during reporting periods	0.00		
2	Share application money given	0.00		
3	Share application money given during the reporting period	0.00		
4	Share application money received during the reporting period	0.00		
5	Share application money received and due for refund	0.00		
6	Paid – up capital held by foreign company	0.00	0.00	%
7	Paid-up capital held by foreign holding company and/ or through its subsidiaries	0.00	0.00	%
8	Number of shares bought back during the reporting period	0.00		
9	Deposits accepted or renewed during the reporting period	0.00		
10	Deposits matured and claimed but not paid during the reporting period	0.00		
11	Deposits matured and claimed but not paid	0.00		
12	Deposits matured, but not claimed	0.00		
13	Unclaimed matured debentures	0.00		
14	Debentures claimed but not paid	0.00		
15	Interest on deposits accrued and due but not paid	0.00		
16	Unpaid dividend	0.00		
17	Investment in subsidiary companies	0.00		
18	Investment in government companies	0.00		
19	Capital Reserves	0.00		
20	Amount due for transfer to Investor Education and Protection Fund (IEPF)	0.00		
21	Inter- corporate deposits	0.00		
22	Gross value of transaction as per AS18 (If applicable)	0.00		
23	Capital subsidies/ grants received from government authority(ies)	0.00		
24	Calls unpaid by directors	0.00		
25	Calls unpaid by others	0.00		
26	Forfeited shares (amount originally paid-up)	0.00		
27	Forfeited shares reissued	0.00		
28	Borrowing from foreign institutional agencies	0.00		
29	Borrowing from foreign companies	0.00		
30	Inter-corporate borrowings - secured	0.00		
31	Inter-corporate borrowings - unsecured	0.00		
32	Commercial Paper	0.00		
33	Conversion of warrants into equity shares during the reporting period	0.00		
34	Conversion of warrants into preference shares during the reporting period	0.00		
35	Conversion of warrants into debentures during the reporting period	0.00		
36	Warrants issued during the reporting period (In foreign currency)	0.00		
37	Warrants issued during the reporting period (In Rupees)	0.00		
38	Default in payment of short term borrowings and interest thereon	0.00		
39	Default in payment of long term borrowings and interest thereon	0.00		
40	Whether any operating lease has been converted to financial lease or vice-versa	☐ Yes ☒ No		
	Provide details of such conversion			

41	Net worth of company	1,616,866.00
42	Number of shareholders to whom shares allotted under private placement during the reporting period	0
43	Secured Loan	0.00
44	Gross fixed assets (including intangible assets)	121,418.00
45	Depreciation and amortization	125,125.00
46	Miscellaneous expenditure to the extent not written off or adjusted	0.00
47	Unhedged Foreign Exchange Exposure	0.00

IV. Share capital raised during the reporting period (Amount in Rupees)

Particulars	Equity Shares	Preference Shares	Total
(a) Public issue	0.00	0.00	0.00
(b) Bonus issue	0.00	0.00	0.00
(c) Right issue	0.00	0.00	0.00
(d) Private placement arising out of conversion of debentures or preference shares	0.00	0.00	0.00
(e) Other private placement	0.00	0.00	0.00
(f) Preferential allotment arising out of conversion of debentures or preference shares	0.00	0.00	0.00
(g) Other preferential allotment	0.00	0.00	0.00
(h) Employee Stock Option Plan (ESOP)	0.00	0.00	0.00
(i) Others	0.00	0.00	0.00
(j) Total amount of share capital raised during the reporting period	0.00	0.00	0.00

VI. Details related to cost records and cost audit

1. *Whether maintenance of cost records by the company has been mandated under Companies (Cost Records and Audit) Rules, 2014

☐ Yes ☒ No

SEGMENT II: INFORMATION AND PARTICULARS IN RESPECT OF PROFIT AND LOSS ACCOUNT
I. Statement Of Profit And Loss

	Particulars	Figures for the period (Current reporting period)		Figures for the period (Previous reporting period)	
		From	01/04/2020 (DD/MM/YYYY)	From	01/04/2019 (DD/MM/YYYY)
		To	31/03/2021 (DD/MM/YYYY)	To	31/03/2020 (DD/MM/YYYY)
(I)	Revenue from operations				
	Domestic Turnover				
	(i) Sales of goods manufactured	0.00		0.00	
	(ii) Sales of goods traded	0.00		0.00	
	(iii) Sales or supply of services	6,768,501.00		4,862,208.00	
	Export turnover				
	(i) Sales of goods manufactured	0.00		0.00	
	(ii) Sales of goods traded	0.00		0.00	
	(iii) Sales or supply of services	0.00		0.00	
(II)	Other income	47,446.00		0.00	
(III)	Total Revenue (I+II)	6,815,947.00		4,862,208.00	
(IV)	Expenses				
	Cost of material consumed	0.00		0.00	
	Purchases of stock in trade	0.00		0.00	
	Changes in inventories of				
	-Finished goods	0.00		0.00	
	-Work-in-progress	0.00		0.00	
	-Stock in trade	0.00		0.00	
	Employee benefit Expense	2,335,705.00		1,982,605.00	
	Managerial remuneration	0.00		0.00	
	Payment to Auditors	0.00		0.00	
	Insurance expenses	0.00		0.00	
	Power and fuel	0.00		0.00	
	Finance cost	0.00		0.00	
	Depreciation and Amortisation expense	125,125.00		102,208.00	
	Other expenses	2,696,117.00		2,109,105.00	
	Total expenses	5,156,947.00		4,193,918.00	
(V)	Profit before exceptional and extraordinary items and tax (III-IV)	1,659,000.00		668,290.00	
(VI)	Exceptional items	0.00		0.00	
(VII)	Profit before extraordinary items and tax (V-VI)	1,659,000.00		668,290.00	
(VIII)	Extraordinary items	0.00		0.00	
(IX)	Profit before tax (VII-VIII)	1,659,000.00		668,290.00	
(X)	Tax Expense				
	(1) Current tax	492,000.00		91,861.00	
	(2) Deferred tax	-54,556.00		-6,242.00	
(XI)	Profit (Loss) for the period from continuing Operations (IX-X)	1,221,556.00		582,671.00	
(XII)	Profit/(Loss) from discontinuing operations	0.00		0.00	
(XIII)	Tax expense of discontinuing operations	0.00		0.00	
(XIV)	Profit /(Loss) from discontinuing operations (after tax) (XII-XIII)	0.00		0.00	
(XV)	Profit/ (Loss) (XI+XIV)	1,221,556.00		582,671.00	

(XVI)	Earnings per equity share before extraordinary items		
	(1) Basic	122.00	0.00
	(2) Diluted	122.00	0.00
(XVII)	Earnings per equity share after extraordinary items		
	(1) Basic	122.00	0.00
	(2) Diluted	122.00	0.00

II. Detailed Profit and Loss items (Amount in Rupees)

A. Details of earning in foreign exchange

Particulars	Current reporting period	Previous reporting period
Export of goods calculated on FOB basis	0.00	0.00
Interest and dividend	0.00	0.00
Royalty	0.00	0.00
Know-how	0.00	0.00
Professional and consultation fees	0.00	0.00
Other income	0.00	0.00
Total Earning in Foreign Exchange	0.00	0.00

B. Details of expenditure in foreign exchange

Particulars	Current reporting period	Previous reporting period
Import of goods calculated on CIF basis		
(i) Raw material	0.00	0.00
(ii) Component and spare parts	0.00	0.00
(iii) Capital goods	0.00	0.00
Expenditure on account of		
Royalty	0.00	0.00
Know-how	0.00	0.00
Professional and consultation fees	0.00	0.00
Interest	0.00	0.00
Other matters	0.00	0.00
Dividend paid	0.00	0.00
Total Expenditure in foreign exchange	0.00	0.00

III. *Financial parameters - Profit and loss account items (Amount in Rupees) during the reporting period

1	Proposed Dividend	0.00	0.00	%
2	Earnings per share (in Rupees)	Basic	122.00	
		Diluted	122.00	
3	Income in foreign currency	0.00		
4	Expenditure in foreign currency	0.00		
5	Revenue subsidies or grants received from government authority(ies)	0.00		
6	Rent paid	0.00		
7	Consumption of stores and spare parts	0.00		
8	Gross value of transaction with related parties as per AS-18 (If applicable)	0.00		
9	Bad debts of related parties as per AS-18 (If applicable)	0.00		

IV. Details related to principal products or services of the company

Total number of product/ services category(ies)

1	Product or service category code (ITC/ NPCS 4 digit code)	9971
	Description of the product or service category	FINANCIAL AND RELATED SERVICES
	*Turnover of the product or service category (in Rupees)	6,768,501.00
	*Highest turnover contributing product or service code (ITC/ NPCS 8 digit code)	99713119
	*Description of the product or service	Other n.e.c
	*Turnover of highest contributing product or service (in Rupees)	6,768,501.00

Note - Please refer to 'Indian Trade Classification' based on harmonized commodity description and coding system issues by the ministry of Commerce & Industry for Product Codes and National Product Classification for Services (NPCS) for Services codes issued by Ministry of Statistics & Program Implementation, Government of India.

Segment III: Reporting of Corporate Social Responsibility (CSR)

1. (a) (i) *Whether CSR is applicable as per section 135 ☐ Yes ☒ No

(ii) Turnover (in Rs.)

(iii) Net worth (in Rs.)

2. Average net profit of the company for last three financial years (as defined in explanation to sub-section (5) section 135 of the Act) (in Rupees)

3. Prescribed CSR Expenditure (two per cent. of the amount as in item 2 above) (in Rupees)

4. (a) Total amount spent on CSR for the financial year (in Rupees)

(b) Amount spent in local area (in Rupees)

(c) Manner in which the amount spent during the financial year as detailed below:

Number of CSR activities

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
S. No.	CSR project or activity identified	Sector in which the Project is covered	Projects or programs - Specify the State /Union Territory where the Project/ Program was undertaken	Projects or programs - Specify the district where projects or programs was undertaken	Amount outlay (budget) project or programs wise (in Rs.)	Amount spent on the projects or programs (in Rs.)	Expenditure on Administrative overheads (in Rs.)	Mode of Amount spent
1								
2								
3								
	Total							

5. Give details (name, address and email address) of implementing agency(ies)

7. Whether a responsibility statement of the CSR Committee on the implementation and monitoring of CSR Policy is enclosed to the Board's Report ☐ Yes ☐ No

Segment IV: DISCLOSURE ABOUT RELATED PARTY TRANSACTIONS

Disclosure of particulars of contracts/arrangements entered into by the company with related parties referred to in sub-section (1) of section 188 of the Companies Act, 2013 including certain arm's length transactions under third proviso thereto

1. Number of contracts or arrangements or transactions not at arm's length basis

Name(s) of the related party	Nature of relationship	Nature of contracts/ arrangements/ transactions

Duration of the contracts / arrangements/ transactions	Date of approval by the Board	Amount paid as advances, if any	Date on which the special resolution was passed in general meeting as required under first proviso to section 188

2. Number of material contracts or arrangement or transactions at arm's length basis

Name(s) of the related party	Nature of relationship	Nature of contracts/ arrangements/ transactions

Duration of the contracts / arrangements/ transactions	Date of approval by the Board	Amount paid as advances, if any

Segment V: Auditor's Report

I. (a) In case of a government company, whether Comptroller and Auditor-General of India (CAG of India) has commented upon or supplemented the audit report under section 143 of the Companies Act, 2013 ☐ Yes ☒ No

III. Details of remarks made by auditors and applicability of CARO

1. *Whether auditors' report has been qualified or has any reservations or contains adverse remarks ☐ Yes ☒ No

3. *Whether Companies (Auditors' Report) Order (CARO) applicable ☐ Yes ☒ No

SEGMENT-VI- Miscellaneous

1. *Whether the Secretarial Audit is applicable ☐ Yes ☒ No

2. *Whether detailed disclosures with respect to Directors' report under sub-section (3) of section 134 is attached. ☒ Yes ☐ No

Attachments

1. *Copy of financial statements duly authenticated as per section 134(including Board's report, auditors' report and other documents)

Attach

7. Company CSR policy as per s sub-section (4) of section 135

Attach

8. Details of other entity(s)

Attach

11. Secretarial Audit Report

Attach

12. Directors' report as per sub-section (3) of section 134

Attach

13. Details of remaining CSR activities

Attach

14. Optional attachment(s)- if any

Attach

List of attachment

Financial and Audit Report.pdf
Final Director Reports.pdf

Remove attachment

Declaration

I am authorized by the Board of Directors of the Company vide *resolution number *dated

to sign this form and declare that all the requirements of Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

***To be digitally signed by**

GOPINATH
Digitally signed by GOPINATH
Date: 2022.02.18
10:01:03
+05'30'

*Designation

*Director identification number of the director; or
PAN of the manager or CEO or CFO; or Membership
number of the secretary

Certificate by Practicing professional

I declare that I have been duly engaged for the purpose of certification of this form. It is hereby certified that I have gone through the provisions of the Companies Act, 2013 and Rules thereunder for the subject matter of this form and matters incidental thereto and I have verified the above particulars (including attachment(s)) from the original/certified records maintained by the Company/applicant which is subject matter of this form and found them to be true, correct and complete and no information material to this form has been suppressed. I further certify that:

1. The said records have been properly prepared, signed by the required officers of the Company and maintained as per the relevant provisions of the Companies Act, 2013 and were found to be in order;
2. All the required attachments have been completely and legibly attached to this form;
3. It is understood that I shall be liable for action under Section 448 of the Companies Act, 2013 for wrong certification, if any found at any stage.

☐ Chartered Accountant (in whole time practice) ☐ Cost Accountant (in whole time practice)

☐ Company Secretary (in whole time practice)

Whether fellow or associate ☐ Associate ☐ Fellow

Membership number

Note: Attention is drawn to provisions of Section 448 and 449 which provide for punishment for false statement / certificate and punishment for false evidence respectively.

Modify

Check Form

Prescrutiny

Submit

For office use only:

eForm Service request number (SRN) eForm filing date (DD/MM/YYYY)

Digital signature of the authorizing officer

This e-Form is hereby registered

Confirm submission

Date of signing

(DD/MM/YYYY)

OR

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company